IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Charles W.C. Lin

Title:

SUPPORT CIRCUIT WITH A TAPERED THROUGH-HOLE

FOR A SEMICONDUCTOR CHIP ASSEMBLY

Serial No.:

Unknown

Filed:

Herewith

Examiner:

Unknown

Group Art Unit:

Unknown

Atty. Docket No.:

P012-1

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, Applicant hereby submits the enclosed Form PTO-1449.

Copies of documents cited on the enclosed Form PTO-1449 are not enclosed because they were previously cited by or submitted to the U.S. Patent Office in prior U.S. Application Serial No. 09/665,931 filed September 20, 2000. The above-identified application is a divisional of the prior application.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that any of these documents, alone or in any combination, is considered to be material to patentability, an admission that any of these documents is prior art as to the above-identified application, or an admission against interest in any manner.

This Information Disclosure Statement is filed before the mailing date of a first Office Action. Accordingly, no fee is due.

Respectfully submitted,

David M. Sigmond Attorney for Applicant Reg. No. 34,013 (303) 554-8371 (303) 554-8667 (fax)

								t I OI
Form PTO-	1449				Atty Do	ocket No.	Serial	Nov=
U.S. Depa	rtment	t of Commerce,	Patent and	Trademark Office	P012-1	_		6 M
	INFOR	MATION DISC	LOSURE ST	ATEMENT	Applica	int		S.C.
	(Us	e several shee	ets if neces	ssary)	Charles	W.C. Lin		~ <u></u>
					Filing	Date	Group .	AB U
							110	
			U.S.	Patent Documents		· · · · · · · · · · · · · · · · · · ·		
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	Date opriate
	AA	4,955,523	09/1990	Calomagno et al.	228	179		
	AB	4,970,571	11/1990	Yamakawa et al.	357	71		
	AC	4,984,358	01/1991	Nelson	29	830		
	AD	5,074,947	12/1991	Estes et al.	156	307.3		
	AE	5,106,461	04/1992	Volfson et al.	205	125		
	AF	5,116,463	05/1992	Lin et al.	156	653		
	AG	5,137,845	08/1992	Lochon et al.	437	183		
	АН	5,167,992	12/1992	Lin et al.	427	437		
	AI	5,196,371	03/1993	Kulesza et al.	437	183		
	AJ	5,209,817	05/1993	Ahmad et al.	156	643		
	AK	5,237,130	08/1993	Kulesza et al.	174	260		
<u></u>	AL	5,260,234	11/1993	Long	437	203		
	AM	5,261,593	11/1993	Casson et al.	228	180.22		
			Foreig	n Patent Documents	,			
							Trans.	lation
		Document	Date	Country	Class	Subclass	Yes	No
	AN	EP 0 718 882 A1	06/1996	European Patent Office	H01L	23/057		
	AO	WO 97/38563	10/1997	WIPO	н05к	1/03		
	0	ther Art (Incl	uding Autho	r, Title, Date, Pe	rtinent	Pages, Etc.	.)	I.,,,,,,,,,,,
	AP	Markstein et Electronic P	al., "Contact	rolling the Variab Production, Februar	les in S cy 1997,	tencil Prin	ting,"	
	AQ	Elenius, "Che contractor sl	posing a Flancould look	ip Chip Bumping Sup for," Advanced Pack	oplier - kaging, 1	Technology March/April	an IC Pa	ackage p. 70-
xaminer	<u> </u>		Date Consid	dered	7.00			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your next communication to Applicant.

								et 2 o
Form PTO-1					Atty D	ocket No.	Serial	No.
				Trademark Office	P012-1			
J		MATION DISC			Applica	ant		
	(Us	e several shee	ets if neces	sary)	Charles	W.C. Lin		
					Filing	Date	Group	Art Un
	T	T	U.S.	Patent Documents		·	_	
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	
	AA	5,275,330	01/1994	Issacs et al.	228	180.2		
	AB	5,284,796	02/1994	Nakanishi et al.	437	183		
	AC	5,293,067	03/1994	Thompson et al.	257	668		
	AD	5,327,010	07/1994	Uenaka et al.	257	679		
	AE	5,334,804	08/1994	Love et al.	174	267		
	AF	5,346,750	09/1994	Hatakeyama et al.	428	209		
	AG	5,355,283	10/1994	Marrs et al.	361	760		
	АН	5,358,621	10/1994	Oyama	205	123		
	AI	5,397,921	03/1995	Karnezos	257	779	-	
	AJ	5,407,864	04/1995	Kim	437	203		
	AK	5,424,245	06/1995	Gurtler et al.	437	183		
	AL	5,438,477	08/1995	Pasch	361	689		
	AM	5,439,162	08/1995	George et al.	228	180.22		
			Foreig	n Patent Documents				
							Trans	lation
		Document	Date	Country	Class	Subclass	Yes	No
	AN	WO 99/57762	11/1999	WIPO	H01L	23/48	-	
	AO							
	Ot	her Art (Incl	uding Author	r, Title, Date, Pe	rtinent	Pages, Etc.	.)	
	AP	Erickson, "Wa Production, S	afer Bumping July 1998, p	g: The Missing Link pp. 43-46.	for DC	A," Electro	nic Pack	aging
	AQ	Kuchenmeister Chemical Meta pages.	r et al., "F allization,"	ilm Chip Interconn IEEE publication	ection : 0-7803-	Systems Pre 4526-6/98,	pared By June 1998	Wet 3, 5
-			Date Consid	lered				

							Shee	t 3 of
Form PTO-1					Atty Do	ocket No.	Serial	No.
U.S. Depa	rtment	of Commerce,	Patent and	Trademark Office	P012-1			
		MATION DISC			Applica	int		
	(Us	e several she	ets if neces	sary)	Charles	W.C. Lin		
					Filing	Date	Group	Art Uni
			v.s.	Patent Documents				
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	
	AA	5,447,886	09/1995	Rai	437	183	1	
	AB	5,454,161	10/1995	Beilin et al.	29	852		
	AC	5,454,928	10/1995	Rogers et al.	205	125		
	AD	5,475,236	12/1995	Yoshizaki	257	48	-	
	AE	5,477,933	12/1995	Nguyen	174	262		
	AF	5,478,007	12/1995	Marrs	228	180.22		
	AG	5,483,421	01/1996	Gedney et al.	361	771		
	АН	5,484,647	01/1996	Nakatani et al.	428	209		
	AI	5,487,218	01/1996	Bhatt et al.	29	852		
	AJ	5,489,804	02/1996	Pasch	257	778		
	AK	5,493,096	02/1996	Koh	219	121.71		
	AL	5,508,229	04/1996	Baker	437	183		
	AM	5,525,065	06/1996	Sobhani	439	67		
			Foreig	n Patent Documents				
			T				Trans	Lation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO							
 -	Ot	her Art (Incl	uding Author	r, Title, Date, Pe	rtinent	Pages, Etc.	.)	
	AP	Ghaffarian, Microelectro	"Long Time B nics, Vol. 2	GA Assembly Reliab 5, No. 6, Septembe	oility,"	Advancing er 1998, pp	. 20-23.	
	AQ	U.S. Applica	tion Serial	No. 09/465,024, fi mbly With Solder V	led Dece			itled
aminer			Date Consid		-			-
XAMINER: EP 609; D: this for	raw T1	ine chrough Ci	tation if no	ed, whether or not ot in conformance to Applicant.	citatio and not	n is in cor	nformance Includ	with e copy

Form PTO-1	449						1	ec 4 0	
	m PTO-1449 S. Department of Commerce, Patent and Trademark Office					ocket No.	Seria	Serial No.	
					P012-1				
]		MATION DIS			Applic	ant			
	(US	e several she	ets if neces	ssary)	Charle	s W.C. Lin			
					Filing	Date	Group	Art Un	
			U.S.	. Patent Documents					
Examiner		Document Number	Date	Name	Class	Subclass	Filing If App		
	AA	5,536,973	07/1996	Yamaji	257	737	II App.	LOPITA	
	AB	5,542,601	08/1996	Fallon et al.	228	119	<u> </u>	 	
	AC	5,547,740	08/1996	Higdon et al.	428	209			
	AD	5,556,810	09/1996	Fujitsu	437	209			
1	AE	5,556,814	09/1996	Inoue et al.	437	230			
	AF	5,564,181	10/1996	Dineen et al.	29	841			
	AG	5,572,069	11/1996	Schneider	257	690			
	АН	5,576,052	11/1996	Arledge et al.	427	98			
	AI	5,583,073	12/1996	Lin et al.	439	183			
	AJ	5,595,943	01/1997	Itabashi et al.	437	230			
	AK	5,599,744	02/1997	Koh et al.	437	195			
	AL	5,611,140	03/1997	Kulesza et al.	29	832			
	AM	5,611,884	03/1997	Bearinger et al.	156	325			
			Foreig	n Patent Documents		323		-	
							Trans	lation	
		Document	Date	Country	Class	Subclass	Yes	No	
	AN							1	
	AO								
	Ot	her Art (Inc.	luding Author	r, Title, Date, Per	rtinent	Pages, Etc.)	<u> </u>	
	AP	U.S. Applica	tion Serial	No. 09/464,562, fi mbly With Strips A	led Dece	mber 16 1		itled	
	AQ	U.S. Applica	tion Serial	No. 09/464,561, fi	led Dece	mbox 16 16	999, ent	itled	
aminer			Date Consid		++u All	a riating"			
,		The chirologic C.	LCALLOH II no	ed, whether or not ot in conformance a to Applicant.	citation	n is in con	formance		

Form PTO-1					Atty D	ocket No.	Serial	No.
U.S. Depar	rtment	t of Commerce	, Patent and	Trademark Office	P012-1			
1		MATION DISC			Applic	ant		
	(Us	e several she	ets if neces	ssary)	Charle	W.C. Lin		
					Filing	Date	Group	Art Unit
	-		U.S.	. Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	Date opriate
	AA	5,613,296	03/1997	Kurino et al.	29	852		
	AB	5,614,114	03/1997	Owen	219	121.66		
	AC	5,615,477	04/1997	Sweitzer	29	840		
	AD	5,619,791	04/1997	Lambrecht Jr. et al.	29	852		
	AE	5,627,405	05/1997	Chillara	257	668		
	AF	5,627,406	05/1997	Pace	257	700		
	AG	5,633,204	05/1997	Tago et al.	438	614		
	AH	5,637,920	06/1997	Loo	257	700		
	AI	5,641,113	06/1997	Somaki et al.	228	180.22		
	AJ	5,645,628	07/1997	Endo et al.	106	1.23		
	AK	5,646,067	07/1997	Gaul	437	180		
	AL	5,648,686	07/1997	Hirano et al.	257	778		
	AM	5,654,584	08/1997	Fujitsu	257	666		
			Foreig	n Patent Documents		, , , , , , , , , , , , , , , , , , , ,		
			T				Transl	ation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO							
	Ot	her Art (Inc.	luding Autho	r, Title, Date, Pe	rtinent	Pages, Etc.)	
	AP							
	AQ							
xaminer			Date Consid	dered				
EXAMINER: PEP 609; Dr	caw li	me curoudu c	ltation if n	ed, whether or not ot in conformance a to Applicant.	citatio	n is in con	formance	with e copy

U.S. Depar		t of Commerce	Datant and	d Trademark Office		ocket No.	Serial	No.
					P012-1			
•		RMATION DISC e several she			Applica			
		2 Severar Suc	ets if neces	ssary)	Charles	s W.C. Lin		
					Filing	Date	Group	Art Unit
	T		U.S	. Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	Date opriate
	AA	5,656,858	08/1997	Kondo et al.	257	737		
	АВ	5,663,598	09/1997	Lake et al.	257	737		
	AC	5,665,652	09/1997	Shimizu	438	127		
	AD	5,666,008	09/1997	Tomita et al.	257	778	 	
	AE	5,669,545	09/1997	Pham et al.	228	1.1		
	AF	5,674,785	10/1997	Akram et al.	437	217	<u> </u>	
	AG	5,674,787	10/1997	Zhao et al.	437	230		
	АН	5,682,061	10/1997	Khandros et al.	257	666		
	AI	5,691,041	11/1997	Frankeny et al.	428	209		
	AJ	5,722,162	03/1998	Chou et al.	29	852		
	AK	5,723,369	03/1998	Barber	438	106		
	AL	5,731,223	03/1998	Padmanabhan	437	183		
	AM	5,736,456	04/1998	Akram	438	614		
			Foreig	n Patent Documents				
							Transl	ation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO				<u> </u>			
	Ot	her Art (Inc.)	luding Autho	or, Title, Date, Per	rtinent	Pages, Etc.)	
	AP						<u></u>	
	AQ							
xaminer			Date Consid	dered				

Form PTO-1					Atty Do	ocket No.	Serial	No.
U.S. Depa	rtment	of Commerce	, Patent and	Trademark Office	P012-1			
;		MATION DISC			Applica	ant		
	(Use	e several she	ets if neces	sary)	Charles	W.C. Lin		
					Filing	Date	Group	Art Unit
			U.S.	. Patent Documents		V		
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	Date opriate
	AA	5,739,585	04/1998	Akram et al.	257	698		
	AB	5,744,859	04/1998	Ouchida	257	668		
	AC	5,757,071	05/1998	Bhansali	257	697		
	AD	5,757,081	05/1998	Chang et al.	257	778		
	AE	5,764,486	06/1998	Pendse	361	774		
	AF	5,774,340	06/1998	Chang et al.	361	771		
	AG	5,789,271	08/1998	Akram	438	18		
	АН	5,798,285	08/1998	Bentlage et al.	438	108		
	AI	5,801,072	09/1998	Barber	438	107		
	AJ	5,801,447	09/1998	Hirano et al.	257	778		
	AK	5,803,340	09/1998	Yeh et al.	228	56.3		
	AL	5,804,771	09/1998	McMahon et al.	174	255		
	AM	5,808,360	09/1998	Akram	257	738		
			Foreig	n Patent Documents				
							Transl	ation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO							
	Ot	ther Art (Inc.	luding Autho	r, Title, Date, Pe	rtinent	Pages, Etc.)	
	AP							
	AQ							
								i
Examiner			Date Consid	lered				
*EXAMINER: MPEP 609; Do of this for	TOM TT	me chroudi c	itation if n	ed, whether or not ot in conformance to Applicant.	citatio	n is in cor	formance Includ	with e copy

Form PTO-1	1449				Atty Do	ocket No.	Serial	No.
U.S. Depa	rtment	of Commerce	, Patent and	Trademark Office	P012-1			
		MATION DISC			Applica	ant		
	(Us	e several she	ets if neces	sary)	Charles	W.C. Lin		
					Filing	Date	Group A	Art Unit
*Examiner	T		U.S.	Patent Documents	T	Г	1	
Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	Date opriate
	AA	5,811,879	09/1998	Akram	257	723		
	AB	5,817,541	10/1998	Averkiou et al.	438	107		
	AC	5,822,856	10/1998	Bhatt et al.	29	832		
	AD	5,834,844	11/1998	Akagawa et al.	257	734		
	AE	5,861,666	01/1999	Bellaar	257	686		
	AF	5,863,816	01/1999	Cho	438	123		
	AG	5,870,289	02/1999	Tokuda et al.	361	779		
	АН	5,883,435	03/1999	Geffken et al.	257	758		
	AI	5,925,931	07/1999	Yamamoto	257	737		· · · · · · · · · · · · · · · · · · ·
	AJ	5,994,222	11/1999	Smith et al.	438	689		
	AK	6,012,224	01/2000	DiStefano et al.	29	840		
	AL	6,013,877	01/2000	Degani et al.	174	264		
	AM	6,018,196	01/2000	Noddin	257	777		
			Foreig	n Patent Documents				
		_					Trans]	lation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO							
	Ot	her Art (Inc.	luding Author	r, Title, Date, Pe	rtinent	Pages, Etc.)	
	AP							
	AQ							
Examiner			Date Consid	lered				
*EXAMINER: MPEP 609; D of this for	raw 1	ine through c	itation if no	ed, whether or not ot in conformance to Applicant.	citation	on is in conconsidered.	formance Includ	with le copy

Form PTO-1	.449				Atty Do	ocket No.	Serial	No.
U.S. Depar	rtment	of Commerce	, Patent and	Trademark Office	P012-1			
1	INFOR	MATION DISC	CLOSURE STA	ATEMENT	Applica	int		
<u> </u>	(Use	e several she	ets if neces	sary)	Charles	W.C. Lin		
					Filing	Date	Group 2	Art Unit
	T		u.s.	Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing I	
	AA	6,020,561	02/2000	Ishida et al.	174	261		
	AB	6,037,665	03/2000	Miyazaki	257	773		
	AC	6,046,909	04/2000	Joy	361	748		
	AD	6,084,297	06/2000	Brooks et al.	257	698		
·	AE	6,084,781	07/2000	Klein	361	771		
	AF	6,103,552	08/2000	Lin	438	113		
	AG	6,103,992	08/2000	Noddin	219	121.71		
	AH	6,127,204	10/2000	Isaacs et al.	438	106		
	AI							
	AJ							
	AK							
	AL							
	AM							
	<u> </u>		Foreig	n Patent Documents	1 <u>-</u> I		,,	
							Transl	Lation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO							
	Ot	her Art (Inc	luding Autho:	r, Title, Date, Per	rtinent	Pages, Etc.	.)	
	AP							
	AQ							
Examiner			Date Consid	lered				
*EXAMINER: MPEP 609; Do of this for	raw li	ine through c	itation if no	ed, whether or not ot in conformance a to Applicant.	citatio	n is in cor	formance Includ	with e copy

Form PTO-1	L 449				Atty Do	cket No.	Serial	No.
U.S. Depar	rtment	of Commerce,	Patent and	Trademark Office	P012-1			
3		MATION DISC			Applica	nt		
	(Use	e several shee	ets if necess	sary)	Charles	W.C. Lin		
					Filing 1	Date	Group A	Art Unit
			U.S.	Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	
	AA	4,442,967	04/1984	van de Pas et al.	228	159		
	AB	4,661,192	04/1987	McShane	156	292		
	AC	4,717,066	01/1988	Goldenberg et al.	228	179		
	AD	4,750,666	06/1988	Neugebauer et al.	228	160		
	AE	4,807,021	02/1989	Okumura	357	75		
	AF	4,925,083	05/1990	Farassat et al.	228	102		
	AG	4,937,653	06/1990	Blonder et al.	357	68		
	AH	5,014,111	05/1991	Tsuda et al.	357	68		
	AI	5,060,843	10/1991	Yasuzato et al.	228	179		
	AJ	5,090,119	02/1992	Tsuda et al.	29	843		
	AK	5,172,851	12/1992	Matsushita et al.	228	179		
	AL	5,294,038	03/1994	Nakano et al.	228	179.1		
	AM	5,364,004	11/1994	Davidson	228	1.1		
 			Foreign	n Patent Documents		,		
	r——	1					Trans]	Lation
		Document	Date	Country	Class	Subclass	Yes	No
	AN							
	AO							
	Ot	ther Art (Inc.	luding Author	r, Title, Date, Pe	rtinent	Pages, Etc.)	
	AP							
	AQ							
Examiner			Date Consid	ered				
*EXAMINER: MPEP 609; D of this for	raw li	ine through c	itation if no	ed, whether or not ot in conformance to Applicant.	citatio	on is in con considered.	formance Includ	with e copy

U.S. Depart	149 tment	of Commerce	Datont and	Trademark Office		cket No.	Serial	No.
					P012-1			
11		MATION DISC several she			Applica			
	(050	- beverar she	ecs ii neces	saly)		W.C. Lin		<u></u>
					Filing	Date	Group	Atht Uni
			U.S.	. Patent Documents			<u> </u>	
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing If Appr	
	AA	5,485,949	01/1996	Tomura et al.	228	180.5		
	AB	5,686,353	11/1997	Yagi et al.	437	183	A .	
	AC	5,813,115	09/1998	Misawa et al.	29	832		
	AD	6,017,812	01/2000	Yonezawa et al.	438	613		
	AE	6,088,236	07/2000	Tomura et al.	361	783		
	AF							
	AG							
	AH	4,368,252	01/1983	Kakuhashi et al.	430	312		
	AI	4,404,059	09/1983	Livshits et al.	156	629		
	AJ	5,116,459	05/1992	Kordus et al.	156	631		,,-w
	AK	5,600,884	02/1997	Kondo et al.	29	852		
	AL	5,653,891	08/1997	Otsuki et al.	216	11	-	
	AM	6,274,057	08/2001	Sexton et al.	216	27		
			Foreig	n Patent Documents	· · · · · · · · · · · · · · · · · · ·			
	<u>-</u>		т				Transl	ation
		Document	Date	Country	Class	Subclass	Yes	No
	AN	62-241345	10/1987	JP	H01L	21/60		х
	AO		<u> </u>					
	Ot	her Art (Inc	luding Autho	or, Title, Date, Pe	rtinent	Pages, Etc.	.)	
	AP							
	AQ							
aminer			Date Consi	dered	 			